



AD FALCON API Manual

Step Definitions

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1 Step Definitions

Each @Step block defines a simulation phase (time control, solver settings, time integration, output schedule, and selected advanced options). Steps are defined inside the % Step Definitions section of the input file.

1.1 Syntax

1.1.1 Section header

FALCON treats section names as case-insensitive and whitespace-insensitive, so these headers are equivalent:

```
% Step Definitions
%StepDefinitions
% step_definitions
% Step-Definitions
```

1.1.2 Section format

```
% Step Definitions
@Step <StepID>
  @@<Key>: <Value...>
  @@<Key>: <Value...>
  ...
@Step <StepID>
  ...
%%%
```

1.1.3 Step header rules

- A step begins with a line whose first token is @Step (one or more leading @ characters are accepted).
- The step ID is the next token and must be an integer; an optional trailing : is allowed (so @Step 1: works).
- These are equivalent:
 - @Step 1
 - @Step 1:
 - @Step: 1

1.1.4 Parameter line rules

- Inside a step block, each setting is a single line beginning with @ (typically @@), followed by a **key token that ends in :**.
- You may omit the trailing ;; FALCON accepts both @@Key: ... and @@Key
- Step keys are **case-insensitive**.
- Boolean values are parsed loosely (e.g., Yes/No, True/False, 1/0 are accepted case-insensitively).
- Unrecognized step keys are ignored (typos can be silently ignored).

1.2 Step graph validation

After all steps are parsed, FALCON validates the step graph:

- Step IDs must be unique.
- Exactly one step must have StartStep: 0 (the root step).
- Every nonzero StartStep must reference an existing step.
- A step cannot reference itself.
- Cycles in StartStep dependencies are rejected.
- Once a step uses UL: Yes, later steps cannot revert to small-deformation.
- TimeIntegration: Explicit and TimeIntegration: IMEX are supported only with SimMode: Dynamic.

1.3 Key reference

Some keys are **step-scoped** (apply only to that @Step). Others are **analysis-scoped** (set a mesh/global option; the last value read wins).

1.3.1 Core time control (step-scoped)

Key	Type	Default	Notes
StartStep:	int	0	Parent step ID. Exactly one step must use 0 (root step); other steps must reference a prior step.

Key	Type	Default	Notes
StepTime:	double	0.0	Physical duration of the step. Must be > 0.
NumberSteps:	int	1	Fixed-increment mode: number of substeps ($\Delta t = \text{StepTime} / \text{NumberSteps}$).

1.3.2 Solver (step-scoped)

Key	Type	Default	Notes
SolverType:	enum	KSPBICG	Allowed: Direct, KSPBICG.
Preconditioner Type:	enum	PCILU	Must be compatible with Solver Type. Examples: KSPBICG → PCILU/PCNONE.
MaxIterations:	int	100	Maximum Newton iterations per substep.
UseModified Newton:	bool	No	If Yes, reuse the stiffness matrix between some Newton iterations.
ModifiedNewton Frequency:	int	1	When Use ModifiedNewton: Yes, rebuild stiffness every ModifiedNewton Frequency iterations.

1.3.3 Simulation mode and time integration (step-scoped)

Key	Type	Default	Notes
SimMode:	enum	Dynamic	Allowed: Dynamic, Static, Consolidation. Consolidation is allowed only for coupled / fully-coupled analyses.
Time Integration: / TimeIntegrator:	enum	Implicit	Allowed: Implicit, Explicit (alias Explicit Lumped), IMEX (alias Imex). Explicit/IMEX require SimMode: Dynamic.
Theta:	double	0.6	Flow θ -method parameter in [0.5, 1.0]. Alias: FlowTheta:.
Enforce Backward:	bool	No	If Yes in Sim Mode: Consolidation, forces Theta=1.0 (backward Euler) for flow.

Key	Type	Default	Notes
RhoInf:	double	(unset)	Generalized- α spectral radius at infinity $\rho_{\infty} \in [0, 1]$. If provided, FALCON maps it to algorithmic parameters and updates the Newmark-like coefficients. If omitted, implicit dynamics uses the classic Newmark setting ($\alpha_f = \alpha_m = 0$).
AlphaF: / AlphaM:	—	—	Not allowed. These keys are rejected; use RhoInf: instead.

1.3.4 Output control (step-scoped)

Key	Type	Default	Notes
OutputTypes:	list	(empty)	Space/comma/semicolon-separated list of output variables. Built-in output types are matched case-insensitively; UMAT custom variable names should match the registered spelling. See Output Variables .

Key	Type	Default	Notes
OutputInterval:	int	—	Legacy shorthand for step-based output: sets OutputControlType=ByStep and OutputControlValue=<interval>.
OutputControlType:	enum	ByStep	Allowed: ByStep, ByTime (case-sensitive).
OutputControlValue:	double	1.0	For ByStep: output every N sub-steps. For ByTime: output every Δt of analysis time.

1.3.5 Automatic increment control (step-scoped)

Enable and tune automatic substepping per step. For full guidance, see [Automatic time incrementation](#).

Key	Type	Default	Notes
ModernAutoInc:	bool	No	Alias: AutomaticStepControl:.
InitialStepIncrement:	double	1.0	Initial Δt guess (used by auto-substepping).
MinTimeStep:	double	1.0e-6	Lower bound for Δt .
MaxTimeStep:	double	1.0	Upper bound for Δt (must be > MinTimeStep).
ErrorTarget:	double	1.0e-2	Target convergence indicator (used for adaptive stepping/retries).

Key	Type	Default	Notes
MaxSubStep: / Maximum Substeps:	int	100000	Safety cap on number of sub-steps within the step.
MaxRetry:	int	30	Maximum retries after a failed sub-step.
RetryToL Relaxation:	bool	No	If Yes, allows temporary relaxation of the target during retries.
FnormDamping:	double	0.0	Exponential smoothing of the reported residual indicator (0 = no smoothing, 1 = heavily smoothed).

The following 5 advanced parameters are supported for user tuning (see [Automatic time incrementation](#)):

- HardSafeFactor:
- GrowthCooldownMax:
- AdjustClampMax:
- GrowthCapLimit:
- SmoothingHistoricalWeight:

Other internal auto-increment tuning keys exist but are not intended for user configuration; if provided, they are ignored with a warning.

1.3.6 Explicit/IMEX mass solve options (step-scoped)

These apply when TimeIntegration: Explicit or TimeIntegration: IMEX.

Key	Type	Default	Notes
MassSolve:	enum	LumpedDiagonal	Aliases: Explicit MassSolve:, Displacement MassSolve:. Allowed: Lumped Diagonal (aliases: Diagonal, Lumped), Row Sum, Diagonal Consistent, Direct, CG.
MassSolveTol:	double	1e-10	Alias: Explicit MassSolveTol:.
MassSolveMax Iters:	int	500	Alias: Explicit MassSolveMax Iters:.

1.3.7 Element activation (“birth”) options (step-scoped)

These options apply on steps that activate elements (see the element activation section in the manual).

Key	Type	Default	Notes
ElementBirth StressFree:	bool	No	Alias: Element Activation StressFree: . If Yes, newly activated ele- ments are reset to stress-free state at activa- tion.

Key	Type	Default	Notes
ElementBirth InitializeUMAT:	bool	No	Aliases: Element Activation InitializeUMAT:, ElementBirth Initialize Custom Variables:, Element Activation Initialize Custom Variables:. If Yes, UMAT custom-variable initialization is executed for newly activated elements.
ElementBirth ResetCustom Variables:	bool	No	Aliases: Element Activation ResetCustom Variables:, ElementBirth ResetCustom State:, Element Activation ResetCustom State:. If Yes, custom state variables are zeroed for newly activated elements.



Key	Type	Default	Notes
ElementBirth RampTime:	double	0.0	Alias: Element Activation RampTime:. If >0, stiffness/internal-force contributions are ramped from 0→1 over this duration.

1.3.8 Postprocessing and GiD controls (analysis-scoped unless noted)

Key	Scope	Default	Notes
PostprocessTool:	analysis	GiD	Aliases: Post Processor: , VisualizationTool:, Postprocess: , PostProcess: , Postprocess Outputs:. Accepts one-or-more outputs (comma/space/semicolon separated). Supported: GiD, Para View (alias VTK), GenericXDMF (aliases: XDMF, HDF 5).
GIDOutputFormat:	analysis	Binary	Values: Ascii/Text or Binary (currently falls back to ASCII output).

Key	Scope	Default	Notes
GIDomitInactive Elements:	analysis	No	Aliases: GID OmitInactive ElementsIn Mesh:, GID_ OmitInactive Elements:, GID_ OmitInactive ElementsInMesh:.
GIDSplitPerStep:	analysis	No	Aliases: GIDSplit OnEachStep:, GID SplitFilesPer Step: (and under- score variants).
GIDSplitOn ElementActivity:	analysis	No	Aliases: GIDSplit FilesOnElement Activity: (and underscore vari- ants).
GIDStartNew Files:	step	No	Aliases: GIDStart NewSegment:, GID NewFiles:, GID NewFile: (and un- derscore variants). Starts a fresh GiD segment at the beginning of <i>this</i> step.
GIDExclude Elements:	analysis	(empty)	Aliases: GID SkipElements: (and underscore variants). Use NONE/OFF to clear, ALL for all elements, or a list/ranges (e.g. 1 2 10-20).

1.3.9 Additional flags (mixed scope)

Key	Scope	Default	Notes
Gravity:	analysis	<i>(existing mesh value)</i>	Sets the gravitational constant used in hydraulic-head calculations; must be >0.
UL:	step	No	Updated-Lagrangian (large deformation). Once enabled in any step, later steps cannot revert.
ResetInternal Force:	step	No	At end of step, carry over internal force as reference and reset load history (useful for staged construction).
EnforceElastic Flag:	step	<i>(inactive)</i>	All or element IDs/ranges. Marks specified elements as elastic for this step (plasticity disabled).
Geostatic:	step	No	Legacy flag (parsed and stored). Use dedicated geostatic workflows described elsewhere in the manual. Alias: Body Force:.

Key	Scope	Default	Notes
Contact Mechanics:	step	No	Legacy flag (parsed and stored). Contact activation is controlled by contact-pair step ranges rather than this toggle.

1.4 Examples

1.4.1 Example 1: Basic fixed-increment step

```
% Step Definitions
@Step 1:
  @@StartStep: 0
  @@StepTime: 100000
  @@NumberSteps: 100
  @@SolverType: Direct
  @@OutputControlType: ByStep
  @@OutputControlValue: 10
  @@OutputTypes: Displacement EffStress
%%%
```

1.4.2 Example 2: Implicit dynamics with generalized- α via RhoInf

```
@Step 2:
  @@StartStep: 1
  @@StepTime: 1.0
  @@NumberSteps: 2000
  @@SimMode: Dynamic
  @@TimeIntegration: Implicit
  @@RhoInf: 0.7
  @@OutputInterval: 100
  @@OutputTypes: Displacement EffStress
%%%
```

1.4.3 Example 3: Explicit dynamics with mass-solve controls

```
@Step 3:  
  @@StartStep: 2  
  @@StepTime: 0.5  
  @@SimMode: Dynamic  
  @@TimeIntegration: Explicit  
  @@InitialStepIncrement: 1e-4  
  @@MinTimeStep: 1e-6  
  @@MaxTimeStep: 1e-3  
  @@MassSolve: LumpedDiagonal  
  @@OutputControlType: ByTime  
  @@OutputControlValue: 0.01  
  @@OutputTypes: Displacement  
%%%
```

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